



04-01-01

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Robert A. MacDonald      Attorney Docket: KEY1017USC1  
Serial No: 10/601,051      Group Art Unit: 3673  
Filed: June 20, 2003  
For: RETAINING WALL BLOCK SYSTEM AND METHOD WITH VARYING  
BLOCK FACE SIZES

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TRANSMITTAL LETTER

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

Transmitted herewith are the following:

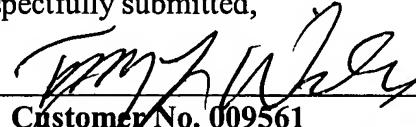
1. Supplemental Information Disclosure Statement (2 pages);
2. Form 1449 listing documents (1 page, in duplicate); and
3. Copy of each listed reference (6 documents).

Please charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 16-2312. A duplicate copy of this Transmittal Letter is enclosed for that purpose.

Dated: 3/30/04

Respectfully submitted,

By

  
Customer No. 009561

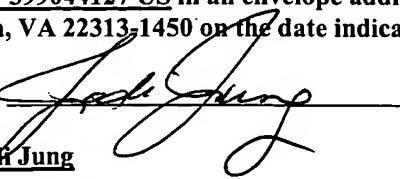
Terry L. Wiles, Esq. (29,989)  
Patrick J. O'Connell, Esq. (33,984)  
Miriam G. Simmons (34,727)  
POPOVICH, WILES & O'CONNELL, P.A.  
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Telephone: (612) 334-8989  
Representatives of Applicant

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Certificate of Express Mailing (37 C.F.R. § 1.10)

I hereby certify that this paper or fee is being deposited with the United States Postal Service as "Express Mail Post Office to Addressee" Mailing Label No. EV 399044127 US in an envelope addressed to:  
Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date indicated below.

Date: March 30, 2004

Signature: 

Name: Jodi Jung



**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant: Robert A. MacDonald      Attorney Docket: KEY1017USC1

Serial No: 10/601,051      Group Art Unit: 3673

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**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22323-1450

Dear Sir:

Pursuant to Sections 1.56, 1.97, and 1.98 of Title 37 of the Code of Federal Regulations, the following information is set forth in compliance with Applicant's duty of disclosure, as well as the duty of others substantively involved in the preparation of the above-titled application.

The Applicant and others substantively involved in the preparation of the application are aware of the documents cited on the enclosed PTO-1449 form and have enclosed copies of the listed documents.

The present statement reflects the direct knowledge of the undersigned and information disclosed to the undersigned by the Applicant and others substantively involved in the preparation of the present application pursuant to their duty under 37 C.F.R. § 1.56.

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**Certificate of Express Mailing (37 C.F.R. § 1.10)**

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Date: March 30, 2004

Signature: 

Name: Jodi Jung

Supplemental Information Disclosure Statement  
Applicant: Robert A. MacDonald  
Serial Number: 10/601,051

Attorney Docket: KEY1017USC1

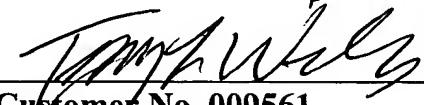
Applicant reserves the right to show, pursuant to 37 C.F.R. § 1.131 or otherwise, that any one or more of the documents cited on the PTO-1449 form is not prior art to the present invention.

Pursuant to the Manual of Patent Examining Procedure, Chapter 609, Applicant requests that the Examiner consider each of the listed documents and initial and return to the undersigned a copy of the enclosed PTO-1449 form (submitted in duplicate).

It is believed that no additional fees are due in connection with this submission. However if this is incorrect, please charge any additional fees to Deposit Account No. 16-2312.

Respectfully submitted,

Dated: 3/30/04

By   
**Customer No. 009561**  
Terry L. Wiles, Esq. (29,989)  
Patrick J. O'Connell, Esq. (33,984)  
Miriam G. Simmons (34,727)  
POPOVICH, WILES &  
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Representatives of Applicant

FORM PTO-1449	U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	ATTY. DOCKET NO.	SERIAL NO.
O I P E MAR 3 1 2004 U. S. PATENT & TRADEMARK OFFICE INFORMATION DISCLOSURE STATEMENT BY APPLICANT		KEY1017USC1	10/601,051
		APPLICANT	
		Robert A. MacDonald	
(Use several sheets if necessary)		FILING DATE	GROUP
		June 20, 2003	3673

## **U.S. PATENT DOCUMENTS**

## **FOREIGN PATENT DOCUMENTS**

	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES	NO
	JP 1-143819	10/03/89					
	JP 3-67170	10/22/91					
	JP 58-28032	06/18/83					

**OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)**

		Partial English language translation of Japanese Utility Model Public Disclosure No. HEI 1-143819 (1 page).
		Partial English language translation of Japanese Examined Public Disclosure No. HEI 3-67170 (1 page).
		Search Report for Counterpart Japanese Application No. 2000-598715 which corresponds to PCT/US00/03114 (1 page).

EXAMINER

**DATE CONSIDERED**

**EXAMINER:** Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.